



01-07-05

IFW

Atty's Docket No.: 102209-9CH

APPLICANT: VITTORIO TENCA ET AL
SERIAL NO.: 10/676,198

TITLE: ENCODER ARRANGEMENT

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL LABEL NO. EV586233975US

DATE OF DEPOSIT: JANUARY 6, 2004

I hereby certify that the following items:

- 1 Information Disclosure Statement and Form 1449 (6 pages)
2. 4 References
3. Express Mail Certificate (1 page)
4. Return Receipt Postcard (1 page)

are being deposited with the United States Postal Services Express Mail Post Office
to Addressee service under 37 CFR 1.10 on the date indicated above and is addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By *Olimpia Jakubowska-Wrobel*
Olimpia Jakubowska-Wrobel

00033409



CERTIFICATE OF EXPRESS MAILING

I hereby certify that the foregoing Information Disclosure Statement and the accompanying Concise Statement of Relevance of Non-English References, Additional Information, Form PTO-144 (6 pgs), Search Report, and 4 references are being deposited with the United States Postal Service as express mail under label No. EV 586233975US in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

Date: 1/6/05 By: Olivia Jankowski Chel

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the foregoing Information Disclosure Statement and the accompanying Concise Statement of Relevance of Non-English References, Additional Information, Form PTO-1449 (Sheets), Search Report, and references are being facsimile transmitted to the United States Patent and Trademark Office on the date indicated below:

Date: By:

Attorney Docket No.:102209-9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT	:	Vittorio TENCA, Corrado CARRADORI
SERIAL NO.	:	10/676,198
CUSTOMER NO.	:	27388
FILED	:	October 1, 2003
FOR	:	Encoded Arrangement
ART UNIT	:	TBA
EXAMINER	:	TBA

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL NFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicants respectfully request that the Examiner consider the references listed on the attached Form PTO-1449.

I. Timeliness, Fees and Certifications in lieu of Fees

☒ A. This information disclosure statement is being filed within three months of the filing date of the application, or within three months of entry into the national stage, or before the mailing of a first Office Action on the merits. Pursuant to 37 CFR §

1.97(b), consideration of this information disclosure statement does not require a fee or a statement under 37 CFR § 1.97(e). However, should the Assistant Commissioner determine that a fee is, in fact, due, the Assistant Commissioner is hereby authorized to charge the fee to Deposit Account No. 14-1263.

☐ B. This information disclosure statement is being filed after the period in A above, but before the mailing of either a final action or a notice of allowance. Pursuant to 37 CFR § 1.97(c), consideration of this information disclosure statement requires a fee or a statement under 37 CFR § 1.97(e):

☐ 1. The Assistant Commissioner is hereby authorized to charge the fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 14-1263.

☐ 2. Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

☐ 3. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement.

☐ C. This information disclosure statement is being filed after the period specified in B above, but on or before the payment of the issue fee. Pursuant to 37 CFR § 1.97(d), consideration of this information disclosure statement requires a petition, which Applicants hereby request, and payment of the petition fee, which is set forth in 37 CFR § 1.17(i), and which the Assistant Commissioner is hereby authorized to charge to Deposit Account No. 14-1263. Consideration of this information disclosure statement

also requires a statement under 37 CFR § 1.97(e):

☐ 1. Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

☐ 2. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement.

II. Copies of Listed References

☒ A. Copies of all references listed on the attached Form PTO-1449 are being supplied.

Copies of U.S. patents are not included pursuant to Pre-OG Notice dated July 11, 2003.

☐ B. Copies of all references listed on the attached Form PTO-1449 have already been supplied during the prosecution of prior application Serial No. ____, filed ____, from which the present application claims priority pursuant to 35 USC § 120. Therefore, pursuant to 37 CFR § 1.98(d), copies of the references listed on the attached Form PTO-1449 are not now being supplied.

☐ C. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report, and PCT/DO/EO/903 indicates that both the international search report and the copies of the references listed on the attached Form PTO-1449 are in this national stage file. Therefore, copies of the references listed on the attached Form PTO-1449 are not now

being supplied.

III. Concise Statement of Relevance

- ☐ A. All references listed on the attached Form PTO-1449 are in the English language, and, therefore, a concise statement of relevance is not required.
- ☐ B. A concise statement of the relevance of all references listed on the attached Form PTO-1449 that are *not in the English language*, is being provided on a separate sheet.
- ☐ C. All references listed on the attached Form PTO-1449 were cited in the search report issued by the _____ Patent Office, and an English-language version of that search report, which indicates the degree of relevance found by that Patent Office, is attached.
- ☐ D. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report, and a copy of that search report, which indicates the degree of relevance found by the International Search Authority, is attached.
- ☐ E. All listed on the attached Form PTO 1449 were cited during the prosecution of the prior application indicated above under II.B.

IV. Additional Information

- ☐ A. In addition to the references listed on the attached Form PTO-1449, Applicants wish to bring to the attention of the Examiner the following abandoned or co-pending U.S. patent applications:

[Pursuant to 37 CFR § 1.98(a)(2)(iii), copies of these applications are not being submitted.]

☐ B. In addition of the references listed on the attached Form PTO-1449, Applicants wish to bring to the attention of the Examiner the information provided on the attached sheet.

Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,

NORRIS McLAUGHLIN & MARCUS, P.A.

By Christa Hildebrand

Christa Hildebrand

Reg. No. 34,953

Attorney for Applicant(s)

875 Third Avenue

18th Floor

New York, New York 10022

(212) 808-0700

LIST OF PATENTS AND PUBLICATIONS APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Form PTO-1449)				Attorney Docket: 102209-9		Serial No.: 10/676,198	
				Applicant: Vittorio TENCA		Examiner:	
				Filing Date: October 1, 2003		Group:	
U.S. PATENT DOCUMENTS							
Examiner's Initial	Document Number	Date	Name	Class	Sub Class	Filing Date If appropriate	
AA	US 5,917,182	Jun. 29, 1999	Ishizuka, Kou			Feb. 6, 1996	
AB	US 5,602,388	Feb. 11, 1997	Maenza, Glenn J.			Sep. 9, 1994	
AC							
AD							
AE							
AF							
FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Sub Class	Translation Yes No	
AG	DE 195 18 714	Dec. 21, 1995	Germany				X abstract only
AH	DE 196 37 625	March 26, 1998	Germany				X abstract only
AI							
AJ							
AK							
AL							
AM							
AN							
AO							
AP							
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
AQ							
AR							
AS							
AT							
AU							
EXAMINER:				DATE CONSIDERED:			
• EXAMINER: Initial if Reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.							